

## TMEM106B is a genetic modifier of frontotemporal lobar degeneration with C9orf72 hexanucleotide repeat expansion

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***TMEM106B* is a genetic modifier of frontotemporal lobar degeneration with  
*C9orf72* hexanucleotide repeat expansions**

by

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1 **ABSTRACT**

2 Hexanucleotide repeat expansions in chromosome 9 open reading frame 72 (*C9orf72*)  
3 have recently been linked to frontotemporal lobar degeneration (FTLD) and amyotrophic  
4 lateral sclerosis (ALS), and may be the most common genetic cause of both  
5 neurodegenerative diseases. Genetic variants at *TMEM106B* influence risk for the most  
6 common neuropathological subtype of FTLD, characterized by inclusions of TAR DNA  
7 binding protein of 43kDa (FTLD-TDP). Previous reports have shown that *TMEM106B* is  
8 a genetic modifier of FTLD-TDP caused by progranulin (*GRN*) mutations, with the major  
9 (risk) allele of rs1990622 associating with earlier age at onset of disease. Here we report  
10 that rs1990622 genotype affects age at death in a single-site discovery cohort of FTLD  
11 patients with *C9orf72* expansions (n=14), with the major allele correlated with later age  
12 at death (p=0.024). We replicate this modifier effect in a 30-site international  
13 neuropathological cohort of FTLD-TDP patients with *C9orf72* expansions (n=75), again  
14 finding that the major allele associates with later age at death (p=0.016), as well as later  
15 age at onset (p=0.019). In contrast, *TMEM106B* genotype does not affect age at onset or  
16 death in 241 FTLD-TDP cases negative for *GRN* mutations or *C9orf72* expansions.  
17 Thus, *TMEM106B* is a genetic modifier of FTLD with *C9orf72* expansions. Intriguingly,  
18 the genotype that confers increased risk for developing FTLD-TDP (major, or T, allele of  
19 rs1990622) is associated with later age at onset and death in *C9orf72* expansion carriers,  
20 providing an example of sign epistasis in human neurodegenerative disease.

21

22

## 1 INTRODUCTION

2 Frontotemporal lobar degeneration (FTLD) is the second most common dementia  
3 in individuals under 65 years of age [31]. The most common neuropathological subtype  
4 is frontotemporal lobar degeneration with TAR DNA-binding protein of 43kDa (TDP-43)  
5 inclusions (FTLD-TDP) [31]. We previously reported the minimally characterized gene,  
6 *TMEM106B*, as a risk factor for FTLD-TDP by genome-wide association study (GWAS)  
7 [39], and this association has been verified independently [12,40]. In our GWAS, three  
8 SNPs reached genome-wide significance for association with FTLD-TDP [39]; all are  
9 located within a 36kb haplotype block that contains *TMEM106B* and no other genes. The  
10 major alleles of all three SNPs are associated with increased risk of FTLD-TDP  
11 ( $p=1.08 \times 10^{-11}$ , odds ratio=1.64 for major allele of rs1990622, the top GWAS SNP) [39].

12 Several studies have begun to elucidate the role *TMEM106B* plays in FTLD-TDP.  
13 *TMEM106B* levels have been shown to be increased in FTLD-TDP brains [5,39], and  
14 risk-associated alleles resulting in amino acid variation in the *TMEM106B* protein have  
15 been reported to result in higher steady-state levels of *TMEM106B* through slower  
16 protein degradation [26]. In addition, the major allele of rs1990622 has been associated  
17 with reduced plasma progranulin (PGRN) levels in both healthy individuals and in  
18 individuals with FTLD-TDP caused by mutations in *GRN*, the gene encoding progranulin  
19 [9,12]. Mutations in *GRN* are a major cause of familial FTLD-TDP [14], and are thought  
20 to cause disease via haploinsufficiency of the progranulin protein [14,32]. Interestingly,  
21 among *GRN* mutation carriers with FTLD (*GRN*(+) FTLD), *TMEM106B* rs1990622  
22 major alleles have been reported to associate with earlier age at disease onset [9].  
23 Experiments in cell culture systems have also demonstrated that *TMEM106B* and PGRN

1 co-localize in several cell types, including neurons, and that over-expression of  
2 *TMEM106B* alters intra- and extracellular levels of PGRN [3,5,26]. Therefore, increased  
3 expression of *TMEM106B* may confer risk for FTLD-TDP by altering PGRN levels.

4         While *GRN* mutations account for ~5% of clinical FTLD cases [14], and other  
5 rarer, monogenic causes of FTLD are known (including mutations in *MAPT*, *CHMP2B*  
6 and *VCP*) [17,34,42], a substantial proportion of familial cases were until recently of  
7 unknown cause. This changed in late 2011 when two groups reported that  
8 hexanucleotide repeat expansions in the *C9orf72* gene are perhaps the most common  
9 cause of familial FTLD, familial amyotrophic lateral sclerosis (ALS), and familial FTLD  
10 with motor neuron disease (FTLD-MND) [11,29]. Although these mutations display an  
11 autosomal dominant mode of inheritance, 3-6% of apparently sporadic cases of FTLD  
12 and ALS harbor *C9orf72* expansions as well, which may be explained by genetic  
13 anticipation, *de novo* mutation, or incomplete penetrance [11,29].

14         The function(s) of *C9orf72* and its role in disease are currently areas of ongoing  
15 research [10], with evidence for both loss-of-function [8,11,15,29] and gain-of-toxic-  
16 function [1,13,25] mechanisms. At a neuropathological level, *C9orf72* expansion  
17 positive FTLD (*C9orf72*(+) FTLD) and ALS (*C9orf72*(+) ALS) cases exhibit TDP-43  
18 pathology reminiscent of *GRN*(+) FTLD, as well as mutation-negative ALS and FTLD,  
19 although *C9orf72*(+) FTLD and ALS cases show unique pathological features as well  
20 [2,35,36].

21         Here, we assess whether *TMEM106B* risk genotypes exert a genetic modifier  
22 effect in *C9orf72*(+) FTLD and ALS, *GRN*(+) FTLD, and FTLD cases without either  
23 mutation. We also investigate whether these genotypes are associated with disease status

1 in *C9orf72*(+) FTLD and with plasma progranulin levels in *C9orf72*(+) expansion  
2 carriers.

3

## 4 **METHODS**

### 5 **Patient cohorts**

6 FTLD and ALS cases with *C9orf72* expansions of greater than 30 hexanucleotide  
7 repeats were identified from among cases in the Integrated Neurodegenerative Disease  
8 Database at the University of Pennsylvania (UPenn) to form a discovery cohort [38,45].  
9 Patients were initially seen at the UPenn Frontotemporal Degeneration Center (FTDC),  
10 Amyotrophic Lateral Sclerosis Center (ALSC), or Alzheimer's Disease Center (ADC); all  
11 were collected with Institutional Review Board Approval. In addition to having a  
12 *C9orf72* expansion, the criteria for selection of FTLD cases was a pathological diagnosis  
13 of FTLD-TDP (n=10) or a clinical diagnosis of FTLD or FTLD-MND (n=19), according  
14 to published criteria [16,22-24,28,37]. *C9orf72*(+) ALS cases (n=55) all met El Escorial-  
15 revised criteria [4]. Twenty of the 55 ALS cases had autopsy confirmation of ALS  
16 pathology. For both FTLD and ALS cases, only probands were selected. In situations  
17 where patients exhibited both dementia and motor neuron disease (MND), cases were  
18 assigned to FTLD-MND if the initial presentation was cognitive and to ALS if the initial  
19 presentation was MND. All *C9orf72*(+) FTLD and *C9orf72*(+) ALS cases meeting these  
20 criteria were included without bias for familial-vs.-apparently-sporadic patterns of  
21 inheritance, and without prior knowledge of *TMEM106B* genotype.

22 The *C9orf72*(+) FTLD discovery cohort is 93.5% white (6.5% unknown  
23 ethnicity) and 54.8% male. The *C9orf72*(+) ALS cohort is 87.2% white, 5.6% black,

1 3.5% Latino, and 3.7% unknown ethnicity with 59.8% males. Age at onset and age at  
2 death were collected, but both were not available on all subjects (*e.g.* no age at death for  
3 living subjects, and sometimes no known age at onset for autopsy cases), therefore the  
4 numbers of cases from each cohort vary depending on the data needed for analysis. For  
5 the discovery cohort, age at onset was defined as the age at initial complaint, based on  
6 review of medical records.

7       The previously published and publicly available FTLD-TDP GWAS from the  
8 International Collaboration for Frontotemporal Lobar Degeneration was used as a  
9 replication cohort [39]. As previously described [39], all cases of this postmortem cohort  
10 were self-described as White, of European ancestry. In addition, samples were screened  
11 by principle components analysis of genomewide genotyping data, and at >200 ancestry  
12 informative markers, to reduce effects of population stratification. Only those cases with  
13 >90% inferred CEU (based on HapMap CEU population of Utah residents with ancestry  
14 from Northern and Western Europe) ancestry were included in the original GWAS [39],  
15 from which all cases of the current replication cohort are derived.

16       A subset of the FTLD-TDP cases were known from the original study to have a  
17 pathogenic *GRN* mutation (n=116) and are used here as a comparison group [7,39]. The  
18 majority of cases lacking a *GRN* or *VCP* mutation (n=321) were screened for *C9orf72*  
19 expansions either by the contributing site or by UPenn, using published methods [11,29].  
20 80 FTLD-TDP cases with *C9orf72* expansions were identified from 30 clinical sites that  
21 agreed to collaborate on this project (see Acknowledgement section for a full listing of  
22 clinical sites). Of the 80 cases, 5 UPenn cases overlapped with the UPenn discovery  
23 cohort and were removed, leaving 75 *C9orf72* expansion cases for analysis in the

1 replication cohort. In addition, 241 cases were formally tested for (and found negative  
2 for) *C9orf72* expansions, and these were used as the mutation-negative FTLD-TDP  
3 cohort. We note that there were additional *C9orf72(+)* FTLD-TDP cases in the GWAS,  
4 but only those cases from sites agreeing to collaborate on this study (constituting >80%  
5 of the total FTLD-TDP GWAS *C9orf72(+)* cases) are included here.

6 For the replication cohort, age at onset and age at death were provided by the  
7 contributing clinical site.

8

## 9 **Genotyping**

10 DNA from UPenn cases, extracted from blood or brain samples as previously  
11 described [39], was tested for rs1990622 genotype using one of two methods: TaqMan  
12 chemistry-based allelic discrimination assays as previously described [5,39], or a custom  
13 Sequenom MassArray genotyping panel that includes PCR and extension primers for  
14 rs1990622. PCR and extension primer sequences for the Sequenom panel are available  
15 on request. Both genotyping methods were compared and found to be concordant (data  
16 not shown) [38].

17

## 18 **Plasma progranulin measurement**

19 Plasma samples were collected from UPenn ALS and FTLD discovery cohort  
20 patients, aliquotted, and stored at -80°C as previously described [6]. Progranulin levels  
21 were measured using a commercially available sandwich ELISA (Human progranulin  
22 ELISA kit, AdipoGen), according to manufacturer instructions.

23



## 1 **Statistical analyses**

2       Linear regression analyses evaluating the association of *TMEM106B* genotype  
3 with age at death or age at disease onset were performed in R, with or without covariates  
4 as described in the text. Two-tailed p-values are reported for the discovery cohort, and  
5 one-tailed p-values are reported for the FTLD-TDP GWAS replication cohort, since the  
6 expected directionality was known. For the combined dataset, survival analyses (Kaplan-  
7 Meier method) were also performed in Prism, and two-tailed p-values from the log-rank  
8 test for trend are reported.

9       Where indicated, codominant, major-allele-dominant, and minor-allele dominant  
10 models of genetic effect were investigated.

11       In addition, we tested for association between *TMEM106B* genotype and disease  
12 for genetically-defined subsets of FTLD (*C9orf72*(+) FTLD, *GRN*(+) FTLD, or  
13 individuals without *C9orf72* expansions or *GRN* mutations). Chi-square statistics were  
14 calculated for rs1990622 using the FTLD-TDP GWAS cases and controls [39].

15       For plasma progranulin analyses, Kruskal-Wallis tests were used to compare  
16 plasma progranulin measures among carriers of different *TMEM106B* genotypes under a  
17 codominant model, and Mann-Whitney tests were used to compare different *TMEM106B*  
18 genotypes under major-allele-dominant and minor-allele dominant models. In addition,  
19 multivariate linear regressions predicting plasma progranulin levels from *TMEM106B*  
20 genotype were used to adjust for sex, age, duration of disease, or clinical manifestation as  
21 described in the text.

22       R-scripts for analyses are available upon request.

23

## 1 RESULTS

2

### 3 ***TMEM106B* genotype at rs1990622 influences age at death in a discovery cohort of** 4 ***C9orf72(+)* FTLD**

5 *TMEM106B* genotype has been shown to demonstrate a genetic modifier effect in  
6 FTLD-TDP caused by autosomal dominant mutations in the progranulin gene (*GRN*) [9].  
7 We therefore asked whether genetic variation at *TMEM106B* influences age at death or  
8 age at onset in *C9orf72(+)* FTLD or ALS disease cases. We assumed a codominant  
9 model for these initial analyses.

10 In *C9orf72(+)* FTLD (n=14), age at death was significantly correlated with  
11 *TMEM106B* genotype at rs1990622, the SNP previously found in our GWAS to associate  
12 most strongly with FTLD-TDP risk (p=0.024, Table 1). Adjusting for sex and  
13 presence/absence of co-existing MND did not affect this association. Moreover, the  
14 direction of association was surprising; specifically, the major allele of rs1990622 (C)  
15 was associated with later age at death in *C9orf72(+)* FTLD. In our GWAS, the major  
16 allele of rs1990622 was found to be associated with increased risk for the development of  
17 FTLD.

18 In contrast, rs1990622 genotype did not affect age at death in *C9orf72(+)* ALS  
19 (n=39, Table 1). In this discovery cohort, rs1990622 genotype did not affect age at onset  
20 for *C9orf72* expansion carriers who presented with either ALS (n=47) or FTLD (n=26).  
21 However, a statistically significant association emerged when we performed a  
22 multivariate analysis controlling for gender and presence of FTD in the clinical ALS  
23 cases, with the major allele associating with earlier age at onset (n=47, Table 1).

1

2 ***TMEM106B* genotype at rs1990622 influences age at onset and age at death in a**  
3 **replication cohort of *C9orf72*(+) FTLD**

4 We sought to replicate the genetic modifier effect of *TMEM106B* in *C9orf72*(+) FTLD in an independent cohort of patients. Since the majority of cases from our GWAS had been screened for the presence of *C9orf72* expansions, these cases provided an ideal replication cohort to evaluate the effect of *TMEM106B* rs1990622 genotype on age at death in *C9orf72*(+) FTLD for three key reasons. First, since the FTLD-TDP GWAS predated the discovery of *C9orf72* expansions as a cause of FTLD, this large, international cohort was unbiased in enrollment with respect to *C9orf72* status. Second, all cases were neuropathologically confirmed to have FTLD-TDP, ensuring neuropathological homogeneity. Third, because all cases had undergone genome-wide genotyping and filtering for effects from population stratification, we could be certain that effects from cryptic familial relationships or population stratification would be minimal.

16 As shown in Table 2, rs1990622 genotype was again correlated with age at death in this cohort (n=75), in both univariate analyses (p=0.016) and linear regression models adjusting for sex and the presence or absence of MND (p=0.019). Moreover, in this larger replication cohort, rs1990622 genotype was also correlated with age at onset (n=68 with age at onset data, p=0.019 for univariate analyses and p=0.032 for multivariate analyses adjusting for sex and presence or absence of MND). Consistent with the results from our discovery cohort, the major allele (T) of rs1990622 was associated with later

1 age at death, as well as later age at onset. Indeed, patients showed later disease onset and  
2 later death by more than three years for each additional major allele at rs1990622 carried.

3 We further examined this genetic modifier effect using Kaplan-Meier survival  
4 analyses performed on the combined cohort (discovery plus replication, n=89 for age at  
5 death analysis, n=94 for age at onset analysis) of *C9orf72(+)* FTLD cases. As shown in  
6 Fig. 1, *TMEM106B* genotypes at rs1990622 were significantly associated with age at  
7 death (Fig. 1A, p=0.046, log rank test for trend), with a trend towards association for age  
8 at onset (Fig. 1C, p=0.064) in this combined cohort. In addition, we observed that the  
9 curve separation between rs1990622 minor allele homozygotes (CC) and heterozygotes  
10 (TC) was greater than the separation between heterozygotes (TC) and major allele  
11 homozygotes (TT). We therefore re-analyzed our data under a major-allele dominant  
12 model for rs1990622 and observed a stronger effect of *TMEM106B* genotype on age at  
13 death (p=0.041, log rank test for trend) and age at onset (p=0.037, log rank test for trend)  
14 in *C9orf72(+)* FTLD. Indeed, at any given age, minor allele (C) homozygotes at  
15 rs1990622 had more than twice the risk of manifesting disease (Fig. 1D, HR 2.022, 95%  
16 CI 1.042-3.925), and more than twice the risk of death (Fig. 1B, HR 2.039, 95% CI  
17 1.031-4.033), compared to other genotypes.

18

### 19 ***TMEM106B* genotype does not exert a genetic modifier effect in *C9orf72* expansion** 20 **negative FTLD-TDP cases**

21 We next asked whether the *TMEM106B* genetic modifier effect observed for  
22 *C9orf72(+)* FTLD extended to FTLD-TDP cases without *C9orf72* expansions, again  
23 using FTLD-TDP cases from the FTLD-TDP GWAS for which *C9orf72* and/or *GRN*

1 mutation status was known. We considered cases with and without *GRN* mutations  
2 separately.

3 As shown in Fig. 2A, *TMEM106B* rs1990622 genotype did not affect age at death  
4 in FTLD-TDP cases without *C9orf72* expansions or *GRN* mutations (n=241). In the  
5 subset of *GRN*-related FTLD-TDP (n=116, Fig. 2B), only one rs1990622 CC individual  
6 had age at death information available, so we could only compare TT and TC individuals,  
7 who did not differ significantly in age at death. Similar results were obtained for age-at-  
8 onset analyses (data not shown).

9

#### 10 ***TMEM106B* genotype is associated with FTLD-TDP in *C9orf72* expansion carriers**

11 The observed genetic modifier effect for *TMEM106B* in *C9orf72*(+) FTLD is  
12 surprising in its direction. Specifically, the rs1990622 major allele associated with  
13 increased risk of FTLD-TDP by GWAS is correlated with older age at onset and death  
14 among *C9orf72*(+) FTLD cases, implying a beneficial effect in this mutation subgroup.  
15 We therefore examined *TMEM106B* rs1990622 allele frequencies in 116 *GRN*(+) FTLD  
16 cases, 80 *C9orf72*(+) FTLD cases, and 241 FTLD-TDP cases in which mutations in *GRN*  
17 and expansions in *C9orf72* had been excluded. As with the age-at-onset and age-at-death  
18 analyses, FTLD-TDP cases were from our prior FTLD-TDP GWAS, although numbers  
19 in each group are slightly higher because individuals with genotypes but lacking age-at-  
20 death or age-at-onset data could be included. As shown in Table 3, *TMEM106B*  
21 rs1990622 genotype was significantly associated with FTLD-TDP in all three subgroups,  
22 with the same direction of association in all three subgroups. In each case, the major  
23 allele of rs1990622 was enriched in disease.

1

2 ***TMEM106B* genotype is not associated with plasma progranulin levels in *C9orf72***  
3 **expansion carriers**

4 *TMEM106B* genotype has been reported to influence plasma progranulin levels in  
5 healthy individuals and *GRN+* FTLD, with the rs1990622 major allele associated with  
6 decreased progranulin expression. We evaluated whether this relationship was also true  
7 in *C9orf72* expansion carriers. In a convenience subset of 24 *C9orf72* expansion carriers  
8 (20 with *C9orf72(+)* ALS and 4 with *C9orf72(+)* FTLD) from the UPenn discovery  
9 cohort for whom we had plasma samples, we measured progranulin levels using an  
10 enzyme-linked immunosorbent assay (ELISA). As shown in Fig. 2C, there were no  
11 significant differences in plasma progranulin levels comparing *C9orf72* expansion  
12 carriers with TT, TC, and CC genotypes at rs1990622. Adjusting for sex and age at  
13 plasma sampling or duration of disease did not affect this result. Additionally adjusting  
14 for clinical manifestation as FTLD or ALS did not affect this result.

15

16 **DISCUSSION**

17 In the current study, we find that *TMEM106B* is a genetic modifier for  
18 *C9orf72(+)* FTLD, demonstrating a significantly later age at death for *TMEM106B*  
19 rs1990622 major allele (T) carriers. This effect appears to be specific to *C9orf72(+)*  
20 FTLD, since *C9orf72(-)*FTLD cases do not differ in age at death depending on rs1990622  
21 genotype. Finally, among *C9orf72* expansion carriers, we do not see a clear effect of  
22 rs1990622 genotype on plasma progranulin levels.

1 We observe that *TMEM106B* genotypes exert a genetic modifier effect in  
2 *C9orf72(+)* FTLD. Examples of common risk variants acting as genetic modifiers in  
3 Mendelian subgroups of disease are increasingly being described. In the field of  
4 neurodegeneration, one well-known example is the age-at-onset modifying effect of  
5 Apolipoprotein E (*APOE*) isoform in *PSEN2*-related-Alzheimer's Disease [44].  
6 Moreover, in *GRN+* FTLD, *TMEM106B* has been reported as a genetic modifier affecting  
7 both age-at-onset and circulating levels of progranulin [9,12].

8 What is more unusual in this case is the direction of the genetic modifier effect.  
9 Specifically, the *TMEM106B* allele that is associated with increased risk of developing  
10 FTLD-TDP (and earlier age at onset in *GRN+* FTLD) appears to ameliorate the disease  
11 phenotype (associating with later age at death and onset) in *C9orf72(+)* FTLD. This  
12 effect may be an example of the general phenomenon of sign epistasis, in which a genetic  
13 variant is beneficial on some genetic backgrounds but deleterious in others. In this case,  
14 the genetic variant in question is *TMEM106B* genotype at rs1990622 (and linked SNPs),  
15 and the genetic backgrounds demonstrating opposing effects are (1) *C9orf72(+)*  
16 individuals -- where the major allele at rs1990622 and linked SNPs is protective in  
17 modulating the severity of FTLD manifestation, as demonstrated by older age at onset  
18 and age at death and (2) *C9orf72(-)* individuals -- where the major allele at rs1990622  
19 and linked SNPs is harmful in conferring increased risk of developing FTLD.

20 Sign epistasis has its conceptual underpinnings in the evolutionary biology  
21 literature [43]. With the advent of modern experimental tools, sign epistasis has been  
22 demonstrated in lower organisms such as bacteria [33], with reports for this phenomenon  
23 in the realm of human genetics and human disease genetics as well [18,19]. In the few

1 reported empirically-derived examples of sign epistasis, the two (or more) genetic loci  
2 involved converge mechanistically in, for example, antibiotic resistance pathways [30] or  
3 enzyme-substrate interactions [46]. Thus, the observed epistasis between *TMEM106B*  
4 and *C9orf72* suggests that these two proteins may have convergent functions in the  
5 pathophysiology of FTLD-TDP. Intriguingly, *TMEM106B* has been linked to  
6 endosomal-lysosomal pathways [3,5,20,27]. The largely uncharacterized protein *C9orf72*  
7 is structurally related to DENN protein family members [21]. DENN proteins function in  
8 the regulation of Rab GTPases, which in turn regulate the many membrane trafficking  
9 events needed for proper function of the endosomal-lysosomal pathway.

10 We note that *TMEM106B* rs1990622 genotypes differ in allelic frequencies  
11 between *C9orf72*(+) FTLD-TDP and normal controls; this situation in which a common  
12 variant shows allelic association with disease even in a monogenic, highly-penetrant  
13 subgroup of disease has been reported in *GRN*+ FTLD-TDP as well [12,39]. In the case  
14 of the *GRN* mutants, a potential explanation may lie in ascertainment bias, since  
15 *TMEM106B* risk variant carriers may manifest disease at an earlier age [9], making it  
16 more likely for them to be included in a cross-sectional sampling of diseased individuals.  
17 Such an argument cannot explain our current result, however, since the rs1990622 major  
18 allele (found by genome-wide association to be enriched in FTLD-TDP) appears to delay  
19 age at death and age at onset in *C9orf72*(+) FTLD cases. An alternate explanation may  
20 lie in the fact that *C9orf72* expansions have a broad range of phenotypic expression,  
21 manifesting as ALS, FTLD, or a syndrome combining both motor neuron disease and  
22 dementia. We have previously shown that ALS patients who are major allele carriers at  
23 rs1990622 are more likely to demonstrate cognitive impairment [41]. Thus, it is possible



1 that *TMEM106B* genotype modulates the phenotypic expression of *C9orf72* expansions,  
2 with rs1990622 major allele carriers more likely to manifest clinically with dementia.  
3 Whether an effect of directing regional pathology towards cognitive regions rather than  
4 motor regions also underlies the apparently protective effect on age at death for  
5 *TMEM106B* rs1990622 major allele carriers with *C9orf72* expansions remains to be seen.

6         It is notable that we were able to replicate the genetic modifier effect of  
7 *TMEM106B* genotype in *C9orf72(+)* FTLD in a 30-site, international cohort of subjects.  
8 Undoubtedly, site-to-site variation in methods of ascertaining age at onset would  
9 contribute to noise, and site-to-site variation in practice with respect to aggressiveness of  
10 clinical care with a fatal neurodegenerative disease would contribute to differences in age  
11 at death in such a dataset. The ability to see a significant genetic modifier effect of  
12 *TMEM106B* on *C9orf72* in such a cohort, nonetheless, may have been helped by the fact  
13 that our replication cohort was homogeneous with respect to neuropathology (all FTLD-  
14 TDP), and genome-wide genotyping in these individuals allowed us to exclude important  
15 potential sources of noise, such as population stratification and cryptic familial  
16 relationships among individuals. In any case, the international, multi-site nature of our  
17 replication cohort increases our confidence that our findings are not due to artifact.

18         The current study has several limitations. First, while we did not see an age-at-  
19 death-modifying effect for *TMEM106B* in *C9orf72* expansion-associated ALS, our  
20 sample size was small (n=39) and likely underpowered to adequately address this  
21 question. Thus, future studies examining this relationship in more *C9orf72*-expansion-  
22 related ALS cases would be a valuable addition to the data presented here. Second, we  
23 did not see a clear modifier effect of *TMEM106B* genotype in the *GRN(+)* FTLD-TDP

1 cases in this study, as has been previously reported [9]. However, our study had only one  
2 rs1990622 minor allele homozygote in the *GRN+* FTLD subgroup, precluding our ability  
3 to examine *TMEM106B* genotype effect in a major-allele-dominant model. Third, we  
4 were able to obtain plasma samples on 24 *C9orf72* expansion carriers, in whom we  
5 measured progranulin levels. Plasma progranulin levels did not differ by *TMEM106B*  
6 genotype in this set of samples, which could reflect either insufficient sample size or a  
7 biologically-relevant finding. Should further studies in larger sample sizes corroborate  
8 our result, this would suggest that *C9orf72* expansions may interrupt the means by which  
9 *TMEM106B* affects circulating progranulin levels. Finally, our study was a targeted  
10 evaluation of one locus (*TMEM106B*) for genetic modifier effect in *C9orf72* expansion  
11 carriers, rather than a comprehensive screen for genetic modifiers in *C9orf72(+)* FTLD  
12 or ALS. It is entirely possible that other loci with epistatic effects exist and also play an  
13 important role in modulating the phenotype associated with *C9orf72* expansions.

14 In conclusion, we demonstrate here that *TMEM106B* is the first reported genetic  
15 modifier in *C9orf72* expansion-related FTLD. Our findings suggest a previously  
16 unsuspected link between these two proteins in the pathophysiology of FTLD and open  
17 up new directions for the development of disease-modifying therapy.

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20 **DEGENERATION**

21 The International Collaboration for Frontotemporal Lobar Degeneration consisted of  
22 clinical sites collaborating to collect cases for an FTLT-TDP genomewide association  
23 study (GWAS); this GWAS led to the discovery that common variants in *TMEM106B* are

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## **TABLES and FIGURE LEGENDS**

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Disease	Outcome	Predictors	Beta (rs1990622, each major allele)	R <sup>2</sup> for model	P-value (rs1990622)
<b>FTLD and FTLD-TDP</b>	Age at Death (n=14)	rs1990622	+6.278	0.303	<b>0.024 *</b>
		rs1990622, Sex, MND	+5.297	0.393	<b>0.049 *</b>
	Age at Onset (n=26)	rs1990622		n.s.	
		rs1990622, Sex, MND		n.s.	
<b>ALS</b>	Age at Death (n=39)	rs1990622		n.s.	
		rs1990622, Sex, FTD		n.s.	
	Age at Onset (n=47)	rs1990622	-4.264	0.044	0.085 n.s.
		rs1990622, Sex, FTD	-4.900	0.075	<b>0.048 *</b>

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**Table 1. *TMEM106B* genotype affects age at death in *C9orf72* expansion carriers with FTLD or FTLD-TDP in a discovery cohort.**

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Linear regressions were used to evaluate the effect of *TMEM106B* genotype at rs1990622 on the age at death or age at onset in *C9orf72* expansion carriers from a discovery cohort.

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In individuals who presented with clinical FTLD or FTLD-TDP, rs1990622 genotype was significantly associated with age at death in both univariate models and models

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adjusting for age and presence/absence of motor neuron disease (MND). In individuals

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who presented with ALS, rs1990622 genotype was not significantly associated with age at death, with a trend towards association with age at onset. Asterisks denote

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significance.

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Disease	Outcome	Predictors	Beta (rs1990622, each major allele)	R <sup>2</sup> for model	P-value (rs1990622)
<b>FTLD-TDP</b>	Age at Death (n=75)	rs1990622	+3.342	0.048	<b>0.016 *</b>
		rs1990622, Sex, MND	+3.413	0.032	<b>0.019 *</b>
	Age at Onset (n=68)	rs1990622	+3.473	0.049	<b>0.019 *</b>
		rs1990622, Sex, MND	+3.198	0.057	<b>0.032 *</b>

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**Table 2. *TMEM106B* genotype affects age at death and age at onset in *C9orf72* expansion carriers in a multi-site FTL-D-TDP replication cohort.**

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Linear regressions were used to evaluate the effect of *TMEM106B* genotype at rs1990622 on the age at death or age at onset in *C9orf72*(+) FTL-D from a multi-site replication cohort of FTL-D-TDP cases. rs1990622 genotype was significantly associated with both age at death and age at onset, in both univariate models and models adjusting for age and presence/absence of motor neuron disease (MND). Asterisks denote significance.

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Disease status	N	rs1990622 Major allele T	rs1990622 Minor allele C	p-value
Normal	2509	0.564	0.436	-
<b><i>GRN</i>(+) FTLD-TDP</b>	116	0.776	0.224	<b>&lt;0.0001</b>
<b><i>C9orf72</i>(+) FTLD-TDP</b>	80	0.669	0.331	<b>0.008</b>
<b>FTLD-TDP (no mutation)</b>	241	0.640	0.360	<b>0.001</b>

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**Table 3. *TMEM106B* rs1990622 genotype is associated with FTLD-TDP in all genetic subgroups.**

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Chi-square tests were performed to evaluate for association between disease and rs1990622 genotype for FTLD-TDP subgroups defined by the presence of *GRN* mutations (*GRN*(+) FTLD-TDP), presence of *C9orf72* expansions (*C9orf72*(+) FTLD-TDP), or the absence of both genetic mutations (FTLD-TDP (no mutation)). The major allele was significantly associated with disease in all three subgroups. Allele frequencies for normal controls provided here are from our previously published GWAS.

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1 **FIGURE LEGENDS**

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3 **Fig. 1 *TMEM106B* genotype influences age at death and age at onset in *C9orf72*(+)**4 **FTLD**

5 All survival analyses were performed in 104 total *C9orf72*(+) FTLD cases, from the  
6 combined discovery and replication cohorts. Of these 104 total cases, 89 had available  
7 age-at-death data, and 94 had age-at-onset data.

8 **A)** Age at death was significantly associated with *TMEM106B* genotype at rs1990622,  
9 the top SNP associated with FTLD-TDP in our prior GWAS. Log rank test for trend  
10 two-tailed  $p=0.046$ , assuming a codominant model.

11 **B)** Under a major-allele-dominant model, *TMEM106B* rs1990622 genotype was even  
12 more significantly associated with age at death, with more than twice the risk of death at  
13 any given age for CC carriers compared to carriers of one or more T alleles (two-tailed  
14  $p=0.041$ , HR=2.039, 95% CI 1.031-4.033).

15 **C)** Age at onset showed a trend towards association with *TMEM106B* genotype at  
16 rs1990622. Log rank test for trend two-tailed  $p=0.064$ , assuming a codominant model.

17 **D)** Under a major-allele-dominant model, *TMEM106B* rs1990622 genotype showed a  
18 significant association with age at disease onset, with more than twice the risk of disease  
19 onset at any given age for CC carriers compared to carriers of one or more T alleles (two-  
20 tailed  $p=0.037$ , HR=2.022, 95% CI 1.042-3.925)

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22 **Fig. 2 *TMEM106B* genotype does not affect age at death or age at onset for FTLD-**23 **TDP without *C9orf72* expansions**

1 A) In 241 FTLD-TDP cases negative for *GRN* mutations or *C9orf72* expansions,  
2 *TMEM106B* genotype at rs1990622 did not affect age at death.

3 B) In 116 FTLD-TDP cases with *GRN* mutations, we found no significant difference in  
4 age at death comparing TT and TC carriers at rs1990622. In this cohort, only one  
5 individual had the CC genotype, precluding our ability to evaluate the influence of this  
6 genotype.

7 C) Plasma progranulin levels were measured in a convenience subset of 24 *C9orf72*  
8 expansion carriers by ELISA. Progranulin levels did not differ significantly by  
9 *TMEM106B* rs1990622 genotype, although the TT carriers exhibited significantly less  
10 variance in their progranulin levels. Black dots indicate individuals who presented with  
11 ALS, while red dots indicate individuals who presented with FTLD.

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