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***TMEM106B* is a genetic modifier of frontotemporal lobar degeneration with
C9orf72 hexanucleotide repeat expansions**

by

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1 ABSTRACT

2 Hexanucleotide repeat expansions in chromosome 9 open reading frame 72 (*C9orf72*)
3 have recently been linked to frontotemporal lobar degeneration (FTLD) and amyotrophic
4 lateral sclerosis (ALS), and may be the most common genetic cause of both
5 neurodegenerative diseases. Genetic variants at *TMEM106B* influence risk for the most
6 common neuropathological subtype of FTLD, characterized by inclusions of TAR DNA
7 binding protein of 43kDa (FTLD-TDP). Previous reports have shown that *TMEM106B* is
8 a genetic modifier of FTLD-TDP caused by progranulin (*GRN*) mutations, with the major
9 (risk) allele of rs1990622 associating with earlier age at onset of disease. Here we report
10 that rs1990622 genotype affects age at death in a single-site discovery cohort of FTLD
11 patients with *C9orf72* expansions (n=14), with the major allele correlated with later age
12 at death (p=0.024). We replicate this modifier effect in a 30-site international
13 neuropathological cohort of FTLD-TDP patients with *C9orf72* expansions (n=75), again
14 finding that the major allele associates with later age at death (p=0.016), as well as later
15 age at onset (p=0.019). In contrast, *TMEM106B* genotype does not affect age at onset or
16 death in 241 FTLD-TDP cases negative for *GRN* mutations or *C9orf72* expansions.
17 Thus, *TMEM106B* is a genetic modifier of FTLD with *C9orf72* expansions. Intriguingly,
18 the genotype that confers increased risk for developing FTLD-TDP (major, or T, allele of
19 rs1990622) is associated with later age at onset and death in *C9orf72* expansion carriers,
20 providing an example of sign epistasis in human neurodegenerative disease.

21

22

1 INTRODUCTION

2 Frontotemporal lobar degeneration (FTLD) is the second most common dementia
 3 in individuals under 65 years of age [31]. The most common neuropathological subtype
 4 is frontotemporal lobar degeneration with TAR DNA-binding protein of 43kDa (TDP-43)
 5 inclusions (FTLD-TDP) [31]. We previously reported the minimally characterized gene,
 6 *TMEM106B*, as a risk factor for FTLD-TDP by genome-wide association study (GWAS)
 7 [39], and this association has been verified independently [12,40]. In our GWAS, three
 8 SNPs reached genome-wide significance for association with FTLD-TDP [39]; all are
 9 located within a 36kb haplotype block that contains *TMEM106B* and no other genes. The
 10 major alleles of all three SNPs are associated with increased risk of FTLD-TDP
 11 ($p=1.08 \times 10^{-11}$, odds ratio=1.64 for major allele of rs1990622, the top GWAS SNP) [39].

12 Several studies have begun to elucidate the role *TMEM106B* plays in FTLD-TDP.
 13 *TMEM106B* levels have been shown to be increased in FTLD-TDP brains [5,39], and
 14 risk-associated alleles resulting in amino acid variation in the *TMEM106B* protein have
 15 been reported to result in higher steady-state levels of *TMEM106B* through slower
 16 protein degradation [26]. In addition, the major allele of rs1990622 has been associated
 17 with reduced plasma progranulin (PGRN) levels in both healthy individuals and in
 18 individuals with FTLD-TDP caused by mutations in *GRN*, the gene encoding progranulin
 19 [9,12]. Mutations in *GRN* are a major cause of familial FTLD-TDP [14], and are thought
 20 to cause disease via haploinsufficiency of the progranulin protein [14,32]. Interestingly,
 21 among *GRN* mutation carriers with FTLD (*GRN*(+) FTLD), *TMEM106B* rs1990622
 22 major alleles have been reported to associate with earlier age at disease onset [9].
 23 Experiments in cell culture systems have also demonstrated that *TMEM106B* and PGRN

co-localize in several cell types, including neurons, and that over-expression of *TMEM106B* alters intra- and extracellular levels of PGRN [3,5,26]. Therefore, increased expression of *TMEM106B* may confer risk for FTLN-TDP by altering PGRN levels.

While *GRN* mutations account for ~5% of clinical FTLN cases [14], and other rarer, monogenic causes of FTLN are known (including mutations in *MAPT*, *CHMP2B* and *VCP*) [17,34,42], a substantial proportion of familial cases were until recently of unknown cause. This changed in late 2011 when two groups reported that hexanucleotide repeat expansions in the *C9orf72* gene are perhaps the most common cause of familial FTLN, familial amyotrophic lateral sclerosis (ALS), and familial FTLN with motor neuron disease (FTLN-MND) [11,29]. Although these mutations display an autosomal dominant mode of inheritance, 3-6% of apparently sporadic cases of FTLN and ALS harbor *C9orf72* expansions as well, which may be explained by genetic anticipation, *de novo* mutation, or incomplete penetrance [11,29].

The function(s) of *C9orf72* and its role in disease are currently areas of ongoing research [10], with evidence for both loss-of-function [8,11,15,29] and gain-of-toxic-function [1,13,25] mechanisms. At a neuropathological level, *C9orf72* expansion positive FTLN (*C9orf72*(+) FTLN) and ALS (*C9orf72*(+) ALS) cases exhibit TDP-43 pathology reminiscent of *GRN*(+) FTLN, as well as mutation-negative ALS and FTLN, although *C9orf72*(+) FTLN and ALS cases show unique pathological features as well [2,35,36].

Here, we assess whether *TMEM106B* risk genotypes exert a genetic modifier effect in *C9orf72*(+) FTLN and ALS, *GRN*(+) FTLN, and FTLN cases without either mutation. We also investigate whether these genotypes are associated with disease status

in *C9orf72*(+) FTLD and with plasma progranulin levels in *C9orf72*(+) expansion carriers.

METHODS

Patient cohorts

FTLD and ALS cases with *C9orf72* expansions of greater than 30 hexanucleotide repeats were identified from among cases in the Integrated Neurodegenerative Disease Database at the University of Pennsylvania (UPenn) to form a discovery cohort [38,45]. Patients were initially seen at the UPenn Frontotemporal Degeneration Center (FTDC), Amyotrophic Lateral Sclerosis Center (ALSC), or Alzheimer's Disease Center (ADC); all were collected with Institutional Review Board Approval. In addition to having a *C9orf72* expansion, the criteria for selection of FTLD cases was a pathological diagnosis of FTLD-TDP (n=10) or a clinical diagnosis of FTLD or FTLD-MND (n=19), according to published criteria [16,22-24,28,37]. *C9orf72*(+) ALS cases (n=55) all met El Escorial-revised criteria [4]. Twenty of the 55 ALS cases had autopsy confirmation of ALS pathology. For both FTLD and ALS cases, only probands were selected. In situations where patients exhibited both dementia and motor neuron disease (MND), cases were assigned to FTLD-MND if the initial presentation was cognitive and to ALS if the initial presentation was MND. All *C9orf72*(+) FTLD and *C9orf72*(+) ALS cases meeting these criteria were included without bias for familial-vs.-apparently-sporadic patterns of inheritance, and without prior knowledge of *TMEM106B* genotype.

The *C9orf72*(+) FTLD discovery cohort is 93.5% white (6.5% unknown ethnicity) and 54.8% male. The *C9orf72*(+) ALS cohort is 87.2% white, 5.6% black,

1 3.5% Latino, and 3.7% unknown ethnicity with 59.8% males. Age at onset and age at
2 death were collected, but both were not available on all subjects (*e.g.* no age at death for
3 living subjects, and sometimes no known age at onset for autopsy cases), therefore the
4 numbers of cases from each cohort vary depending on the data needed for analysis. For
5 the discovery cohort, age at onset was defined as the age at initial complaint, based on
6 review of medical records.

7 The previously published and publicly available FTLT-DTP GWAS from the
8 International Collaboration for Frontotemporal Lobar Degeneration was used as a
9 replication cohort [39]. As previously described [39], all cases of this postmortem cohort
10 were self-described as White, of European ancestry. In addition, samples were screened
11 by principle components analysis of genomewide genotyping data, and at >200 ancestry
12 informative markers, to reduce effects of population stratification. Only those cases with
13 >90% inferred CEU (based on HapMap CEU population of Utah residents with ancestry
14 from Northern and Western Europe) ancestry were included in the original GWAS [39],
15 from which all cases of the current replication cohort are derived.

16 A subset of the FTLT-DTP cases were known from the original study to have a
17 pathogenic *GRN* mutation (n=116) and are used here as a comparison group [7,39]. The
18 majority of cases lacking a *GRN* or *VCP* mutation (n=321) were screened for *C9orf72*
19 expansions either by the contributing site or by UPenn, using published methods [11,29].
20 80 FTLT-DTP cases with *C9orf72* expansions were identified from 30 clinical sites that
21 agreed to collaborate on this project (see Acknowledgement section for a full listing of
22 clinical sites). Of the 80 cases, 5 UPenn cases overlapped with the UPenn discovery
23 cohort and were removed, leaving 75 *C9orf72* expansion cases for analysis in the

1 replication cohort. In addition, 241 cases were formally tested for (and found negative
2 for) *C9orf72* expansions, and these were used as the mutation-negative FTLT-TDP
3 cohort. We note that there were additional *C9orf72*(+) FTLT-TDP cases in the GWAS,
4 but only those cases from sites agreeing to collaborate on this study (constituting >80%
5 of the total FTLT-TDP GWAS *C9orf72*(+) cases) are included here.

6 For the replication cohort, age at onset and age at death were provided by the
7 contributing clinical site.

8

9 **Genotyping**

10 DNA from UPenn cases, extracted from blood or brain samples as previously
11 described [39], was tested for rs1990622 genotype using one of two methods: TaqMan
12 chemistry-based allelic discrimination assays as previously described [5,39], or a custom
13 Sequenom MassArray genotyping panel that includes PCR and extension primers for
14 rs1990622. PCR and extension primer sequences for the Sequenom panel are available
15 on request. Both genotyping methods were compared and found to be concordant (data
16 not shown) [38].

17

18 **Plasma progranulin measurement**

19 Plasma samples were collected from UPenn ALS and FTLT discovery cohort
20 patients, aliquotted, and stored at -80°C as previously described [6]. Progranulin levels
21 were measured using a commercially available sandwich ELISA (Human progranulin
22 ELISA kit, AdipoGen), according to manufacturer instructions.

23

1 Statistical analyses

2 Linear regression analyses evaluating the association of *TMEM106B* genotype
3 with age at death or age at disease onset were performed in R, with or without covariates
4 as described in the text. Two-tailed p-values are reported for the discovery cohort, and
5 one-tailed p-values are reported for the FTLT-TDP GWAS replication cohort, since the
6 expected directionality was known. For the combined dataset, survival analyses (Kaplan-
7 Meier method) were also performed in Prism, and two-tailed p-values from the log-rank
8 test for trend are reported.

9 Where indicated, codominant, major-allele-dominant, and minor-allele dominant
10 models of genetic effect were investigated.

11 In addition, we tested for association between *TMEM106B* genotype and disease
12 for genetically-defined subsets of FTLT (*C9orf72*(+) FTLT, *GRN*(+) FTLT, or
13 individuals without *C9orf72* expansions or *GRN* mutations). Chi-square statistics were
14 calculated for rs1990622 using the FTLT-TDP GWAS cases and controls [39].

15 For plasma progranulin analyses, Kruskal-Wallis tests were used to compare
16 plasma progranulin measures among carriers of different *TMEM106B* genotypes under a
17 codominant model, and Mann-Whitney tests were used to compare different *TMEM106B*
18 genotypes under major-allele-dominant and minor-allele dominant models. In addition,
19 multivariate linear regressions predicting plasma progranulin levels from *TMEM106B*
20 genotype were used to adjust for sex, age, duration of disease, or clinical manifestation as
21 described in the text.

22 R-scripts for analyses are available upon request.

23

RESULTS

***TMEM106B* genotype at rs1990622 influences age at death in a discovery cohort of *C9orf72*(+) FTLD**

TMEM106B genotype has been shown to demonstrate a genetic modifier effect in FTLD-TDP caused by autosomal dominant mutations in the progranulin gene (*GRN*) [9]. We therefore asked whether genetic variation at *TMEM106B* influences age at death or age at onset in *C9orf72*(+) FTLD or ALS disease cases. We assumed a codominant model for these initial analyses.

In *C9orf72*(+) FTLD (n=14), age at death was significantly correlated with *TMEM106B* genotype at rs1990622, the SNP previously found in our GWAS to associate most strongly with FTLD-TDP risk (p=0.024, Table 1). Adjusting for sex and presence/absence of co-existing MND did not affect this association. Moreover, the direction of association was surprising; specifically, the major allele of rs1990622 (C) was associated with later age at death in *C9orf72*(+) FTLD. In our GWAS, the major allele of rs1990622 was found to be associated with increased risk for the development of FTLD.

In contrast, rs1990622 genotype did not affect age at death in *C9orf72*(+) ALS (n=39, Table 1). In this discovery cohort, rs1990622 genotype did not affect age at onset for *C9orf72* expansion carriers who presented with either ALS (n=47) or FTLD (n=26). However, a statistically significant association emerged when we performed a multivariate analysis controlling for gender and presence of FTD in the clinical ALS cases, with the major allele associating with earlier age at onset (n=47, Table 1).

1

2 ***TMEM106B* genotype at rs1990622 influences age at onset and age at death in a**
 3 **replication cohort of *C9orf72*(+) FTL**

4 We sought to replicate the genetic modifier effect of *TMEM106B* in *C9orf72*(+) FTL
 5 FTL in an independent cohort of patients. Since the majority of cases from our GWAS
 6 had been screened for the presence of *C9orf72* expansions, these cases provided an ideal
 7 replication cohort to evaluate the effect of *TMEM106B* rs1990622 genotype on age at
 8 death in *C9orf72*(+) FTL for three key reasons. First, since the FTL-TDP GWAS
 9 predated the discovery of *C9orf72* expansions as a cause of FTL, this large,
 10 international cohort was unbiased in enrollment with respect to *C9orf72* status. Second,
 11 all cases were neuropathologically confirmed to have FTL-TDP, ensuring
 12 neuropathological homogeneity. Third, because all cases had undergone genome-wide
 13 genotyping and filtering for effects from population stratification, we could be certain
 14 that effects from cryptic familial relationships or population stratification would be
 15 minimal.

16 As shown in Table 2, rs1990622 genotype was again correlated with age at death
 17 in this cohort (n=75), in both univariate analyses (p=0.016) and linear regression models
 18 adjusting for sex and the presence or absence of MND (p=0.019). Moreover, in this
 19 larger replication cohort, rs1990622 genotype was also correlated with age at onset (n=68
 20 with age at onset data, p=0.019 for univariate analyses and p=0.032 for multivariate
 21 analyses adjusting for sex and presence or absence of MND). Consistent with the results
 22 from our discovery cohort, the major allele (T) of rs1990622 was associated with later

age at death, as well as later age at onset. Indeed, patients showed later disease onset and later death by more than three years for each additional major allele at rs1990622 carried.

We further examined this genetic modifier effect using Kaplan-Meier survival analyses performed on the combined cohort (discovery plus replication, n=89 for age at death analysis, n=94 for age at onset analysis) of *C9orf72*(+) FTLD cases. As shown in Fig. 1, *TMEM106B* genotypes at rs1990622 were significantly associated with age at death (Fig. 1A, p=0.046, log rank test for trend), with a trend towards association for age at onset (Fig. 1C, p=0.064) in this combined cohort. In addition, we observed that the curve separation between rs1990622 minor allele homozygotes (CC) and heterozygotes (TC) was greater than the separation between heterozygotes (TC) and major allele homozygotes (TT). We therefore re-analyzed our data under a major-allele dominant model for rs1990622 and observed a stronger effect of *TMEM106B* genotype on age at death (p=0.041, log rank test for trend) and age at onset (p=0.037, log rank test for trend) in *C9orf72*(+) FTLD. Indeed, at any given age, minor allele (C) homozygotes at rs1990622 had more than twice the risk of manifesting disease (Fig. 1D, HR 2.022, 95% CI 1.042-3.925), and more than twice the risk of death (Fig. 1B, HR 2.039, 95% CI 1.031-4.033), compared to other genotypes.

***TMEM106B* genotype does not exert a genetic modifier effect in *C9orf72* expansion negative FTLD-TDP cases**

We next asked whether the *TMEM106B* genetic modifier effect observed for *C9orf72*(+) FTLD extended to FTLD-TDP cases without *C9orf72* expansions, again using FTLD-TDP cases from the FTLD-TDP GWAS for which *C9orf72* and/or *GRN*

1 mutation status was known. We considered cases with and without *GRN* mutations
2 separately.

3 As shown in Fig. 2A, *TMEM106B* rs1990622 genotype did not affect age at death
4 in FTLD-TDP cases without *C9orf72* expansions or *GRN* mutations (n=241). In the
5 subset of *GRN*-related FTLD-TDP (n=116, Fig. 2B), only one rs1990622 CC individual
6 had age at death information available, so we could only compare TT and TC individuals,
7 who did not differ significantly in age at death. Similar results were obtained for age-at-
8 onset analyses (data not shown).

9

10 ***TMEM106B* genotype is associated with FTLD-TDP in *C9orf72* expansion carriers**

11 The observed genetic modifier effect for *TMEM106B* in *C9orf72*(+) FTLD is
12 surprising in its direction. Specifically, the rs1990622 major allele associated with
13 increased risk of FTLD-TDP by GWAS is correlated with older age at onset and death
14 among *C9orf72*(+) FTLD cases, implying a beneficial effect in this mutation subgroup.
15 We therefore examined *TMEM106B* rs1990622 allele frequencies in 116 *GRN*(+) FTLD
16 cases, 80 *C9orf72*(+) FTLD cases, and 241 FTLD-TDP cases in which mutations in *GRN*
17 and expansions in *C9orf72* had been excluded. As with the age-at-onset and age-at-death
18 analyses, FTLD-TDP cases were from our prior FTLD-TDP GWAS, although numbers
19 in each group are slightly higher because individuals with genotypes but lacking age-at-
20 death or age-at-onset data could be included. As shown in Table 3, *TMEM106B*
21 rs1990622 genotype was significantly associated with FTLD-TDP in all three subgroups,
22 with the same direction of association in all three subgroups. In each case, the major
23 allele of rs1990622 was enriched in disease.

***TMEM106B* genotype is not associated with plasma progranulin levels in *C9orf72* expansion carriers**

TMEM106B genotype has been reported to influence plasma progranulin levels in healthy individuals and *GRN*+ FTLN, with the rs1990622 major allele associated with decreased progranulin expression. We evaluated whether this relationship was also true in *C9orf72* expansion carriers. In a convenience subset of 24 *C9orf72* expansion carriers (20 with *C9orf72*(+) ALS and 4 with *C9orf72*(+) FTLN) from the UPenn discovery cohort for whom we had plasma samples, we measured progranulin levels using an enzyme-linked immunosorbent assay (ELISA). As shown in Fig. 2C, there were no significant differences in plasma progranulin levels comparing *C9orf72* expansion carriers with TT, TC, and CC genotypes at rs1990622. Adjusting for sex and age at plasma sampling or duration of disease did not affect this result. Additionally adjusting for clinical manifestation as FTLN or ALS did not affect this result.

DISCUSSION

In the current study, we find that *TMEM106B* is a genetic modifier for *C9orf72*(+) FTLN, demonstrating a significantly later age at death for *TMEM106B* rs1990622 major allele (T) carriers. This effect appears to be specific to *C9orf72*(+) FTLN, since *C9orf72*(-)FTLN cases do not differ in age at death depending on rs1990622 genotype. Finally, among *C9orf72* expansion carriers, we do not see a clear effect of rs1990622 genotype on plasma progranulin levels.

1 We observe that *TMEM106B* genotypes exert a genetic modifier effect in
 2 *C9orf72(+)* FTLN. Examples of common risk variants acting as genetic modifiers in
 3 Mendelian subgroups of disease are increasingly being described. In the field of
 4 neurodegeneration, one well-known example is the age-at-onset modifying effect of
 5 Apolipoprotein E (*APOE*) isoform in *PSEN2*-related-Alzheimer's Disease [44].
 6 Moreover, in *GRN+* FTLN, *TMEM106B* has been reported as a genetic modifier affecting
 7 both age-at-onset and circulating levels of progranulin [9,12].

8 What is more unusual in this case is the direction of the genetic modifier effect.
 9 Specifically, the *TMEM106B* allele that is associated with increased risk of developing
 10 FTLN-TDP (and earlier age at onset in *GRN+* FTLN) appears to ameliorate the disease
 11 phenotype (associating with later age at death and onset) in *C9orf72(+)* FTLN. This
 12 effect may be an example of the general phenomenon of sign epistasis, in which a genetic
 13 variant is beneficial on some genetic backgrounds but deleterious in others. In this case,
 14 the genetic variant in question is *TMEM106B* genotype at rs1990622 (and linked SNPs),
 15 and the genetic backgrounds demonstrating opposing effects are (1) *C9orf72(+)*
 16 individuals -- where the major allele at rs1990622 and linked SNPs is protective in
 17 modulating the severity of FTLN manifestation, as demonstrated by older age at onset
 18 and age at death and (2) *C9orf72(-)* individuals -- where the major allele at rs1990622
 19 and linked SNPs is harmful in conferring increased risk of developing FTLN.

20 Sign epistasis has its conceptual underpinnings in the evolutionary biology
 21 literature [43]. With the advent of modern experimental tools, sign epistasis has been
 22 demonstrated in lower organisms such as bacteria [33], with reports for this phenomenon
 23 in the realm of human genetics and human disease genetics as well [18,19]. In the few

1 reported empirically-derived examples of sign epistasis, the two (or more) genetic loci
2 involved converge mechanistically in, for example, antibiotic resistance pathways [30] or
3 enzyme-substrate interactions [46]. Thus, the observed epistasis between *TMEM106B*
4 and *C9orf72* suggests that these two proteins may have convergent functions in the
5 pathophysiology of FTL-D-TDP. Intriguingly, *TMEM106B* has been linked to
6 endosomal-lysosomal pathways [3,5,20,27]. The largely uncharacterized protein *C9orf72*
7 is structurally related to DENN protein family members [21]. DENN proteins function in
8 the regulation of Rab GTPases, which in turn regulate the many membrane trafficking
9 events needed for proper function of the endosomal-lysosomal pathway.

10 We note that *TMEM106B* rs1990622 genotypes differ in allelic frequencies
11 between *C9orf72*(+) FTL-D-TDP and normal controls; this situation in which a common
12 variant shows allelic association with disease even in a monogenic, highly-penetrant
13 subgroup of disease has been reported in *GRN*+ FTL-D-TDP as well [12,39]. In the case
14 of the *GRN* mutants, a potential explanation may lie in ascertainment bias, since
15 *TMEM106B* risk variant carriers may manifest disease at an earlier age [9], making it
16 more likely for them to be included in a cross-sectional sampling of diseased individuals.
17 Such an argument cannot explain our current result, however, since the rs1990622 major
18 allele (found by genome-wide association to be enriched in FTL-D-TDP) appears to delay
19 age at death and age at onset in *C9orf72*(+) FTL-D cases. An alternate explanation may
20 lie in the fact that *C9orf72* expansions have a broad range of phenotypic expression,
21 manifesting as ALS, FTL-D, or a syndrome combining both motor neuron disease and
22 dementia. We have previously shown that ALS patients who are major allele carriers at
23 rs1990622 are more likely to demonstrate cognitive impairment [41]. Thus, it is possible

1 that *TMEM106B* genotype modulates the phenotypic expression of *C9orf72* expansions,
2 with rs1990622 major allele carriers more likely to manifest clinically with dementia.
3 Whether an effect of directing regional pathology towards cognitive regions rather than
4 motor regions also underlies the apparently protective effect on age at death for
5 *TMEM106B* rs1990622 major allele carriers with *C9orf72* expansions remains to be seen.

6 It is notable that we were able to replicate the genetic modifier effect of
7 *TMEM106B* genotype in *C9orf72*(+) FTLN in a 30-site, international cohort of subjects.
8 Undoubtedly, site-to-site variation in methods of ascertaining age at onset would
9 contribute to noise, and site-to-site variation in practice with respect to aggressiveness of
10 clinical care with a fatal neurodegenerative disease would contribute to differences in age
11 at death in such a dataset. The ability to see a significant genetic modifier effect of
12 *TMEM106B* on *C9orf72* in such a cohort, nonetheless, may have been helped by the fact
13 that our replication cohort was homogeneous with respect to neuropathology (all FTLN-
14 TDP), and genome-wide genotyping in these individuals allowed us to exclude important
15 potential sources of noise, such as population stratification and cryptic familial
16 relationships among individuals. In any case, the international, multi-site nature of our
17 replication cohort increases our confidence that our findings are not due to artifact.

18 The current study has several limitations. First, while we did not see an age-at-
19 death-modifying effect for *TMEM106B* in *C9orf72* expansion-associated ALS, our
20 sample size was small (n=39) and likely underpowered to adequately address this
21 question. Thus, future studies examining this relationship in more *C9orf72*-expansion-
22 related ALS cases would be a valuable addition to the data presented here. Second, we
23 did not see a clear modifier effect of *TMEM106B* genotype in the *GRN*(+) FTLN-TDP

1 cases in this study, as has been previously reported [9]. However, our study had only one
2 rs1990622 minor allele homozygote in the *GRN*+ FTLD subgroup, precluding our ability
3 to examine *TMEM106B* genotype effect in a major-allele-dominant model. Third, we
4 were able to obtain plasma samples on 24 *C9orf72* expansion carriers, in whom we
5 measured progranulin levels. Plasma progranulin levels did not differ by *TMEM106B*
6 genotype in this set of samples, which could reflect either insufficient sample size or a
7 biologically-relevant finding. Should further studies in larger sample sizes corroborate
8 our result, this would suggest that *C9orf72* expansions may interrupt the means by which
9 *TMEM106B* affects circulating progranulin levels. Finally, our study was a targeted
10 evaluation of one locus (*TMEM106B*) for genetic modifier effect in *C9orf72* expansion
11 carriers, rather than a comprehensive screen for genetic modifiers in *C9orf72*(+) FTLD
12 or ALS. It is entirely possible that other loci with epistatic effects exist and also play an
13 important role in modulating the phenotype associated with *C9orf72* expansions.

14 In conclusion, we demonstrate here that *TMEM106B* is the first reported genetic
15 modifier in *C9orf72* expansion-related FTLD. Our findings suggest a previously
16 unsuspected link between these two proteins in the pathophysiology of FTLD and open
17 up new directions for the development of disease-modifying therapy.

18
19

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INTERNATIONAL COLLABORATION FOR FRONTOTEMPORAL LOBAR DEGENERATION

The International Collaboration for Frontotemporal Lobar Degeneration consisted of clinical sites collaborating to collect cases for an FTLD-TDP genomewide association study (GWAS); this GWAS led to the discovery that common variants in *TMEM106B* are

1 a genetic risk factor for FTLD-TDP [39]. Members of the Collaboration who contributed
2 *C9orf72*(+)FTLD-TDP cases for the current study include Irina Alafuzoff, Anna
3 Antonell, Nenad Bogdanovic, William Brooks, Nigel Cairns, Johnathan Cooper-Knock,
4 Carl W. Cotman, Patrick Cras, Marc Cruts, Peter P. De Deyn, Charles DeCarli, Carol
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6 Gijselinck, Jordan Grafman, Paivi Hartikainen, Kimmo J. Hatanpaa, J. Robin Highley,
7 John Hodges, Christine Hulette, Paul G. Ince, Lee-Way Jin, Janine Kirby, Julia Kofler,
8 Jillian Kril, John J. B. Kwok, Allan Levey, Andrew Lieberman, Albert Llado, Jean-
9 Jacques Martin, Eliezer Masliah, Christopher J. McDermott, Catriona McLean, Ann C.
10 McKee, Simon Mead, Carol A. Miller, Josh Miller, David Munoz, Jill Murrell, Henry
11 Paulson, Olivier Piguet, Martin Rossor, Raquel Sanchez-Valle, Mary Sano, Julie
12 Schneider, Lisa Silbert, Salvatore Spina, Julie van der Zee, Tim Van Langenhove, Jason
13 Warren, Stephen B. Wharton, Charles L. White III, Randall Woltjer.

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TABLES and FIGURE LEGENDS

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Disease	Outcome	Predictors	Beta (rs1990622, each major allele)	R ² for model	P-value (rs1990622)
FTLD and FTLD-TDP	Age at Death (n=14)	rs1990622	+6.278	0.303	0.024 *
		rs1990622, Sex, MND	+5.297	0.393	0.049 *
	Age at Onset (n=26)	rs1990622		n.s.	
		rs1990622, Sex, MND		n.s.	
ALS	Age at Death (n=39)	rs1990622		n.s.	
		rs1990622, Sex, FTD		n.s.	
	Age at Onset (n=47)	rs1990622	-4.264	0.044	0.085 n.s.
		rs1990622, Sex, FTD	-4.900	0.075	0.048 *

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4 **Table 1. *TMEM106B* genotype affects age at death in *C9orf72* expansion carriers**
5 **with FTLD or FTLD-TDP in a discovery cohort.**

6 Linear regressions were used to evaluate the effect of *TMEM106B* genotype at rs1990622
7 on the age at death or age at onset in *C9orf72* expansion carriers from a discovery cohort.

8 In individuals who presented with clinical FTLD or FTLD-TDP, rs1990622 genotype
9 was significantly associated with age at death in both univariate models and models

10 adjusting for age and presence/absence of motor neuron disease (MND). In individuals

11 who presented with ALS, rs1990622 genotype was not significantly associated with age
12 at death, with a trend towards association with age at onset. Asterisks denote

13 significance.

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Disease	Outcome	Predictors	Beta (rs1990622, each major allele)	R ² for model	P-value (rs1990622)
FTLD-TDP	Age at Death (n=75)	rs1990622	+3.342	0.048	0.016 *
		rs1990622, Sex, MND	+3.413	0.032	0.019 *
	Age at Onset (n=68)	rs1990622	+3.473	0.049	0.019 *
		rs1990622, Sex, MND	+3.198	0.057	0.032 *

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Table 2. *TMEM106B* genotype affects age at death and age at onset in *C9orf72* expansion carriers in a multi-site FTLD-TDP replication cohort.

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Linear regressions were used to evaluate the effect of *TMEM106B* genotype at rs1990622 on the age at death or age at onset in *C9orf72*(+) FTLD from a multi-site replication cohort of FTLD-TDP cases. rs1990622 genotype was significantly associated with both age at death and age at onset, in both univariate models and models adjusting for age and presence/absence of motor neuron disease (MND). Asterisks denote significance.

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Disease status	N	rs1990622 Major allele T	rs1990622 Minor allele C	p-value
Normal	2509	0.564	0.436	-
<i>GRN</i> (+) FTLD-TDP	116	0.776	0.224	<0.0001
<i>C9orf72</i> (+) FTLD-TDP	80	0.669	0.331	0.008
FTLD-TDP (no mutation)	241	0.640	0.360	0.001

Table 3. *TMEM106B* rs1990622 genotype is associated with FTLD-TDP in all genetic subgroups.

Chi-square tests were performed to evaluate for association between disease and rs1990622 genotype for FTLD-TDP subgroups defined by the presence of *GRN* mutations (*GRN*(+) FTLD-TDP), presence of *C9orf72* expansions (*C9orf72*(+) FTLD-TDP), or the absence of both genetic mutations (FTLD-TDP (no mutation)). The major allele was significantly associated with disease in all three subgroups. Allele frequencies for normal controls provided here are from our previously published GWAS.

FIGURE LEGENDS

Fig. 1 *TMEM106B* genotype influences age at death and age at onset in *C9orf72*(+) FTLD

All survival analyses were performed in 104 total *C9orf72*(+) FTLD cases, from the combined discovery and replication cohorts. Of these 104 total cases, 89 had available age-at-death data, and 94 had age-at-onset data.

A) Age at death was significantly associated with *TMEM106B* genotype at rs1990622, the top SNP associated with FTLD-TDP in our prior GWAS. Log rank test for trend two-tailed $p=0.046$, assuming a codominant model.

B) Under a major-allele-dominant model, *TMEM106B* rs1990622 genotype was even more significantly associated with age at death, with more than twice the risk of death at any given age for CC carriers compared to carriers of one or more T alleles (two-tailed $p=0.041$, HR=2.039, 95% CI 1.031-4.033).

C) Age at onset showed a trend towards association with *TMEM106B* genotype at rs1990622. Log rank test for trend two-tailed $p=0.064$, assuming a codominant model.

D) Under a major-allele-dominant model, *TMEM106B* rs1990622 genotype showed a significant association with age at disease onset, with more than twice the risk of disease onset at any given age for CC carriers compared to carriers of one or more T alleles (two-tailed $p=0.037$, HR=2.022, 95% CI 1.042-3.925)

Fig. 2 *TMEM106B* genotype does not affect age at death or age at onset for FTLD-TDP without *C9orf72* expansions

- 1 A) In 241 FTLD-TDP cases negative for *GRN* mutations or *C9orf72* expansions,
2 *TMEM106B* genotype at rs1990622 did not affect age at death.
- 3 B) In 116 FTLD-TDP cases with *GRN* mutations, we found no significant difference in
4 age at death comparing TT and TC carriers at rs1990622. In this cohort, only one
5 individual had the CC genotype, precluding our ability to evaluate the influence of this
6 genotype.
- 7 C) Plasma progranulin levels were measured in a convenience subset of 24 *C9orf72*
8 expansion carriers by ELISA. Progranulin levels did not differ significantly by
9 *TMEM106B* rs1990622 genotype, although the TT carriers exhibited significantly less
10 variance in their progranulin levels. Black dots indicate individuals who presented with
11 ALS, while red dots indicate individuals who presented with FTLD.

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